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Docket No.: 03/212-0604

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Takeo KOMIYA, et al.	:	Confirmation Number: 4391
Application No.: 10/501,512	:	Group Art Unit: 2883
Filed: January 14, 2005	:	Examiner: Not yet assigned
For: OPTICAL WAVEGUIDE MODULE	:	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

**CERTIFICATION PARAGRAPH**

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each reference was cited in a corresponding foreign office action and their relevance is discussed therein. Please note that **JP 200-347050** and **SASAKI T. et al., "Multi-Channel Power Level Monitor with Upward-Reflector and Sensor-Array Integrated in Planar Lightwave Circuit", Optical Fiber Communication Conference, (2001), p. WB6.1-WB6.3** were submitted on July 15, 2005 and January 14, 2005. A copy of the foreign office action, along with an English translation of the page citing the references, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

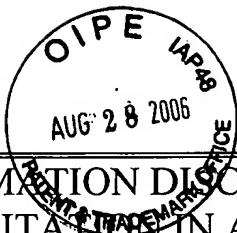
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**Please recognize our Customer No. 20277  
as our correspondence address.**



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (PTO-1449)	ATTY. DOCKET NO. <b>050212-0604</b>	SERIAL NO. <b>10/501,512</b>
	APPLICANT <b>Takeo KOMIYA, et al.</b>	
	FILING DATE <b>January 14, 2005</b>	GROUP <b>2883</b>

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US			
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**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes No	
		JP 2004-347050 A	12-15-2000	NHK SPRING CO LTD		JAPAN (w/English Abstract)	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		SASAKI T. et al., "Multi-Channel Power Level Monitor with Upward-Reflector and Sensor-Array Integrated in Planar Lightwave Circuit", Optical Fiber Communication Conference, (2001), p. WB6.1-WB6.3.	
		Chinese Office Action issued on July 28, 2006 in corresponding Chinese Patent Application No. 038017938	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.